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Attorneys for Defendant Erik K. Bardman

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

SECURITIES AND EXCHANGE COMMISSION,
Plaintiff,
v.
ERIK K. BARDMAN,
and
JENNIFER F. WOLF,
Defendants.

**STIPULATION AND [PROPOSED]
ORDER CORRECTING TITLE OF
AMENDED COMPLAINT AND
EXTENDING TIME FOR
DEFENDANTS TO ANSWER OR
OTHERWISE RESPOND**

Case No. 3:16-cv-02023 (JST)

Judge: Hon. Jon S. Tigar

1 WHEREAS, on April 18, 2016, plaintiff Securities and Exchange Commission
2 (“Plaintiff”) filed a complaint in the above-captioned action (the “Complaint”) asserting claims
3 against defendants Erik K. Bardman and Jennifer F. Wolf (“Defendants” and, together with
4 Plaintiff, the “Parties”);

5 WHEREAS, on October 27, 2016, the Court entered an Order Granting In Part And
6 Denying In Part Defendants’ Motions To Dismiss (Dkt. No. 34);

7 WHEREAS, on November 28, 2016, Plaintiff filed an amended complaint (Dkt. No.
8 39), erroneously titled “Complaint” (hereinafter “Amended Complaint”);

9 WHEREAS, the Parties have agreed that Plaintiff is able to correct the caption of the
10 amended complaint, nunc pro tunc, pursuant to the instructions on the Court’s website,
11 <http://www.cand.uscourts.gov/ecf/correctingmistake#CORRECT>.

12 WHEREAS, the Parties have agreed that Defendants’ time to answer or otherwise
13 respond to the Amended Complaint may be extended as follows;

14 IT IS HEREBY STIPULATED AND AGREED, by and between the attorneys for
15 Plaintiff and the attorneys for Defendants, as follows:

16 1. Plaintiff shall submit a corrected amended complaint titled “Amended
17 Complaint,” pursuant to the instructions set forth on the Court’s website, “Correcting E-Filing
18 Mistakes; E-filing a Corrected Version.”

19 2. Defendants shall answer or otherwise respond to the Complaint by December
20 28, 2016.

21 There have been no requests for an extension of time previously made with respect
22 to the amended Complaint.

1 Dated: November 30, 2016

SECURITIES AND EXCHANGE COMMISSION

2 By: /s/ Paul W. Kisslinger
3 Paul W. Kisslinger

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9 *Attorneys for Plaintiff Securities and Exchange Commission*

12 Dated: November 30, 2016

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19 *Attorneys for Defendant Erik K. Bardman*

20 Dated: November 30, 2016

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27 *Attorneys for Defendant Jennifer F. Wolf*

ATTESTATION (CIVIL LOCAL RULE 5-1(i)(3))

In accordance with Civil Local Rule 5-1(i)(3), I attest that concurrence in the filing of this document has been obtained from each signatory.

Dated: November 30, 2016

SHEARMAN & STERLING LLP

By: /s/ Emily V. Griffen
Emily V. Griffen

Attorneys for Defendant Erik K. Bardman

* * *

IT IS SO ORDERED.

Dated: December 1, 2016

Hon. Jon S. Tigar
United States District Judge